



Attorney Docket No.: 41 2176 CIS00-2909

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Andrew R. Cleasby, Charles D. Cummings and Dana Russell  
Serial No.: 09/685,716  
For: METHODS AND APPARATUS FOR OBTAINING A STATE OF A  
BROWSER  
Filing Date: October 11, 2000  
Examiner: Smith, Peter J.  
Art Unit: 2176  
Conf. No.: 5970

RECEIVED

OCT 26 2004

Technology Center 2600

Certificate of Mailing Under 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: **MAIL STOP AMENDMENT**, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on:

Date: October 14, 2004

By: Gail L. Hardy  
(Typed or printed name of person mailing Document, whose signature appears below)

10/22/2004 LWONDIM1 00000082 09685716

01 FC:1806

180.00 DP

Signature: Gail L. Hardy

**MAIL STOP AMENDMENT**  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

☐ under 37 CFR 1.129(a), or (If IDS filed as first/second submission after Final Rejection);

☐ under 37 CFR 1.97(b), or (If IDS Filed within three months of filing national application; or date of entry of the national stage in international

- 2 -

application; or before mailing date of first office action on the merits;  
whichever occurs last);

☐ under 37 CFR 1.97(c) together with either:

☐ a Statement under 37 CFR 1.97(e), as checked below, or

☒ a \$180.00 fee under 37 CFR 1.17(p), or (If filed after the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

☐ under 37 CFR 1.97(d) together with:

☐ a Statement under 37 CFR 1.97(e), as checked below, and

☐ a Petition under 37 CFR 1.97(d)(2), and

☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i). (If IDS filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

☐ Applicant requests that the attached IDS and cited reference(s) be placed in the application filewrapper. (If IDS filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or

☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

- 3 -

Enclosed herewith is Form PTO-1449:

☒ Copy of the cited references are enclosed except for those which qualify under 37 CFR 1.98(a)(2)(i).

☐ Copy of cited references are enclosed except those entered in a prior application, having U.S. Serial No. \_\_\_\_\_, and references that are not required to be submitted under 37 CFR 1.98.

☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

☐ Pursuant to the Official Gazette Notice of August 5, 2003 the requirement under 37 CFR 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication is waived for all U.S. national patent applications filed after June 30, 2003.

Concise Explanation Requirement (required for non-English references):

☐ The "concise explanation" requirement for reference(s) under 37 CFR 1.98(a)(3) is satisfied by:

- ☐ the explanation provided on the attached sheet.
- ☐ the explanation provided in the Specification.
- ☐ submission of the enclosed International Search Report.
- ☐ the enclosed English language abstract.

It is requested that the information disclosed herein be made of record in this application.

Method of payment:

☐ No fee is required for this Information Disclosure Statement.

☒ Enclosed is a check for \$180.00, which covers the Information Disclosure Fee.

☐ A copy of this Statement is enclosed.

- 4 -

Applicant hereby petitions for any extension of time, which is required to maintain the pendency of this case. If there is a fee occasioned by this response, including an extension fee that is not covered by an enclosed check, please charge any deficiency to Deposit Account No. 50-0901.

Respectfully submitted,

By: David W. Rouille  
David W. Rouille, Esq.  
U.S.P.T.O. Registration No.: 40,150  
Attorney for Applicant  
CHAPIN & HUANG, L.L.C.  
Westborough Office Park  
1700 West Park Drive  
Westborough, Massachusetts 01581  
Telephone: (508) 366-9600  
Facsimile: (508) 616-9805

Attorney Docket No.: CIS00-2909

Dated: October 14, 2004

PTO-1449 REPRODUCED

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

(Use several sheets if necessary)

ATTORNEY DOCKET NO.

CIS00-2909

APPLICATION SERIAL NO.

OCT 26 2004

09/685,716

Technology Center 2600

APPLICANT

Andrew R. Cleasby and Charles D. Cummings

FILING DATE

October 11, 2000

GROUP

2176

## U.S. PATENT DOCUMENTS

EXAM- INER INI- TIAL		DOCUMENT NUMBER	DATE mm/dd/yy	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	AA	5,861,883	01/19/99	Gennaro A. Cuomo, et al.	345	326	05/13/97
	AB	5,862,330	01/19/99	Vinod Anupam, et al.	395	200.34	07/16/96
	AC	5,941,957	08/24/99	Michael I. Ingrassia, Jr., et al.	709	248	10/06/97
	AD	5,987,376	11/16/99	Kipley J. Olson, et al.	701	201	07/16/97
	AE	6,070,185	05/30/00	Vinod Anupam, et al.	709	204	05/02/97
	AF	6,078,948	06/20/00	Marek Podgorny, et al.	709	204	02/03/98
	AG	6,105,055	08/15/00	Arturo Pizano, et al.	709	204	03/13/98
	AH	6,144,991	11/07/00	Paul England	709	205	02/19/98
	AI	6,161,137	12/12/00	Robert H. Ogdon, et al.	709	224	03/13/98
	AJ	6,161,149	12/12/00	Theodore B. Achacoso, et al.	710	4	03/13/98
	AK	6,178,439 B1	01/23/01	Fil Feit	709	200	12/23/97

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

PTO-1449 REPRODUCED

INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION

(Use several sheets if necessary)

ATTORNEY DOCKET NO.

APPLICATION SERIAL NO.

CIS00-2909**RECEIVED** 09/685,716**OCT 26 2004**

APPLICANT

Andrew R. Cleasby and Charles D. Cummings

FILING DATE

October 11, 2000

2176

## U.S. PATENT DOCUMENTS

EXAM- INER INI- TIAL		DOCUMENT NUMBER	DATE mm/dd/yy	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	AA	6,185,602 B1	02/06/01	Sadik Bayrakeri	709	204	10/16/98
	AB	6,192,394 B1	02/20/01	Keith Gutfreund, et al.	709	204	07/14/98
	AC	6,230,185 B1	05/08/01	Pito Salas, et al.	709	205	07/15/98
	AD	6,237,025 B1	05/22/01	Lester F. Ludwig, et al.	709	204	12/19/97
	AE	6,256,389 B1	07/03/01	William Clyde Prentice Dalrymple, et al.	379	900	04/23/98
	AF	6,259,701 B1	07/10/01	David Hilton Shur, et al.	370	401	09/11/97
	AG	6,295,550 B1	09/25/01	Byung-Sun Choung, et al.	709	204	10/23/96
	AH	6,298,356 B1	10/02/01	Janardhanan Jawahar, et al.	707	201	11/20/98
	AI	6,310,941 B1	10/30/01	Paul D. Crutcher, et al.	379	88.17	08/06/98
	AJ	6,317,777 B1	11/13/01	Rune A. Skarbo, et al.	709	204	04/26/99
	AK	6,334,141 B1	12/25/01	Pradeep Varma, et al.	709	205	02/02/99

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO	

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

<b>PTO-1449 REPRODUCED</b>  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  (Use several sheets if necessary)		<b>ATTORNEY DOCKET NO.</b>  <u>CIS00-2909</u>		<b>APPLICATION SERIAL NO.</b>  <b>RECEIVED</b> 09/685,716 <b>OCT 26 2004</b>			
		<b>APPLICANT</b>  Andrew R. Cleasby and Charles D. Cummings		<b>Technology Center 2600</b>			
		<b>FILING DATE</b> October 11, 2000		<b>GROUP</b> 2176			
<b>U.S. PATENT DOCUMENTS</b>							
<b>EXAM- INER INI- TIAL</b>		<b>DOCUMENT NUMBER</b>	<b>DATE mm/dd/yy</b>	<b>NAME</b>	<b>CLASS</b>	<b>SUB-CLASS</b>	<b>FILING DATE IF APPROPRIATE</b>
	AA	6,338,086 B1	01/08/02	Pavel Curtis, et al.	709	218	06/11/98
	AB	6,393,475 B1	05/21/02	Leon Y. K. Leong, et al.	709	223	09/24/99
	AC	6,411,989 B1	06/25/02	Vinod Anupam, et al.	709	204	12/28/98
	AD	6,421,678 B2	07/16/02	Brian Smiga, et al.	707	102	10/20/99
	AE	6,584,493 B1	06/24/03	Laura J. Butler	709	204	09/14/99
<b>FOREIGN PATENT DOCUMENTS</b>							
		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY</b>	<b>CLASS</b>	<b>SUB-CLASS</b>	<b>TRANSLATION YES NO</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			